

**U.S. District Court
Southern District of New York (Foley Square)
CRIMINAL DOCKET FOR CASE #: 1:12-mj-02985-UA-1**

Case title: USA v. Martoma

Date Filed: 11/19/2012

Assigned to: Judge Unassigned

Defendant (1)**Mathew Martoma***also known as*

Sealed Defendant 1

represented by **Charles A. Stillman**

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LEAD ATTORNEY*Designation: Retained***James Alfred Mitchell**

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LEAD ATTORNEY*Designation: Retained***Pending Counts**

None

Disposition**Highest Offense Level (Opening)**

None

Terminated Counts

None

Disposition**Highest Offense Level (Terminated)**

None

Complaints**Disposition**

18:371:CONSPIRACY TO DEFRAUD
 THE UNITED STATES;, 15:78; 17
 C.F.R. 240.10b-5:SECURITIES
 FRAUD.

Plaintiff

USA

represented by **Arlo Devlin-Brown**
 U.S. Attorney's Office, SDNY
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Date Filed	#	Docket Text
11/19/2012		Oral Order to Seal Case as to Sealed Defendant 1. (Signed by Magistrate Judge Debra C. Freeman on 11/19/2012)(gq). (Entered: 11/20/2012)
11/19/2012	1	SEALED COMPLAINT as to Sealed Defendant 1 (1) in violation of 18 U.S.C. 371; 15 U.S.C. 78j(b), 78ff; 17 C.F.R. 240.10b-5. (Signed by Magistrate Judge Debra C. Freeman) (gq) (Entered: 11/20/2012)
11/19/2012		Attorney update in case as to Mathew Martoma. Attorney Arlo Devlin-Brown for USA added. (gq). (Entered: 11/20/2012)
11/20/2012	3	Order to Unseal Case as to Mathew Martoma...that the Complaint in the above-captioned action be unsealed and remain unsealed, pending further order of the Court. (Signed by Magistrate Judge Debra C. Freeman on 11/20/2012)(gq) (Entered: 11/20/2012)
11/20/2012		Arrest of Mathew Martoma in the United States District Court - Southern District of Florida. (gq) (Entered: 11/26/2012)
11/20/2012		Arrest Warrant Returned Executed on 11/20/2012 as to Mathew Martoma. (gq) (Entered: 11/26/2012)
11/26/2012		Arrest of Mathew Martoma. (gq) (Entered: 11/26/2012)
11/26/2012	4	NOTICE OF ATTORNEY APPEARANCE: Charles A. Stillman, James Alfred Mitchell appearing for Mathew Martoma. (gq) (Entered: 11/26/2012)
11/26/2012		Minute Entry for proceedings held before Magistrate Judge James L. Cott:Initial Appearance as to Mathew Martoma held on 11/26/2012. Deft appears with atty's Charles Stillman/James Mitchell. AUSA Arlos Devlin-Brown present for the gov't. Agreed conditions of release: \$5,000,000 PRB. 3 FRP. Secured by \$2,000,000 cash or property. Travel restricted to SDNY, EDNY, Florida (All Districts), District of Massachusetts, District of New Jersey. Surrender travel documents (& no new applications), as well as those of his minor children.

		Regular pretrial supervision. Deft to be released on own signature as well as his wife's; Remaining conditions to be met by 12/3/12 for 2 other FRP's; 12/10/12 to post cash or property security for bond. (Preliminary Hearing set for 12/26/2012 at 10:00 AM before Judge Unassigned.) (gq) (Entered: 11/26/2012)
11/26/2012	5	PRB APPEARANCE Bond Entered as to Mathew Martoma in amount of \$5,000,000. Co-signed by 3 financially responsible person. Secured by \$2,000,000 cash or property. Travel restricted to SDNY, EDNY, Florida (All Districts), District of Massachusetts, District of New Jersey. Surrender travel documents (& no new applications), as well as those of his minor children. Regular pretrial supervision. Deft to be released on own signature as well as his wife's; Remaining conditions to be met by 12/3/12 for 2 other FRP's; 12/10/12 to post cash or property security for bond.(gq) (Entered: 11/26/2012)

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