



Summons

In the matter of Tax Liability of John Does*

Internal Revenue Service (Division): Small Business/Self Employed Division

Industry/Area (name or number): South Atlantic Area

Periods: Years ending 12/31/2002, 12/31/2003, 12/31/2004, 12/31/2005, 12/31/2006, and 12/31/2007

The Commissioner of Internal Revenue

To: UBS AG

At: 701 Brickell Avenue, Suite 3250, Miami FL 33131

You are hereby summoned and required to appear before Daniel Reeves or Designee an officer of the Internal Revenue Service, to give testimony and to bring with you and to produce for examination the following books, records, papers, and other data relating to the tax liability or the collection of the tax liability or for the purpose of inquiring into any offense connected with the administration or enforcement of the internal revenue laws concerning the person identified above for the periods shown.

See attachment

* "John Does" are United States taxpayers, who at any time during the years ended December 31, 2002 through December 31, 2007, had signature or other authority (including authority to withdraw funds; to make investment decisions; to receive account statements, trade confirmations, or other account information; or to receive advice or solicitations) with respect to any financial accounts maintained at, monitored by, or managed through any office in Switzerland of UBS AG or its subsidiaries or affiliates in Switzerland, and for whom UBS AG or its subsidiaries or affiliates (1) did not have in its possession Forms W-9 executed by such United States taxpayers, and (2) had not filed timely and accurate Forms 1099 naming such United States taxpayers and reporting to United States taxing authorities all payments made to such United States taxpayers.

Do not write in this space



Business address and telephone number of IRS officer before whom you are to appear:
Telephone: (609) 625-7878

Place and time for appearance at IRS, 51 S.W. First Ave., Miami, Florida 33130-1608; Telephone: (305) 982-5269



on the 8th day of August 2008 at 10:00 o'clock a m.
Issued under authority of the Internal Revenue Code this 21 ^(year) day of July 2008 _(year)

Department of the Treasury
Internal Revenue Service

www.irs.gov

Form 2039 (Rev. 12-2001)
Catalog Number 21405J

Signature of issuing officer

Revenue Agent
Title

Signature of approving officer (if applicable)

Territory Manager
Title

Original — to be kept by IRS